

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	09/711,323
Filing Date	November 9, 2000
First Named Inventor	Alfonso Valdes
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	10454-014002 (SRI/4190-3)

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/AM/	C1	Copy of Order Granting In Part and Denying In Part DI 286 MSJ Re: Non-Infringement DI 291 MSJ, issued by United States District Court for the District of Delaware, SRI International, Inc. v. Internet Security Systems, Inc., Civ No. 04-1199-SLR, October 17, 2006, copy consists of 1 unnumbered page	
/AM/	C2	Copy of Order Denying DI 270 MPSJ finding as Moot DI 276 and 279, issued by United States District Court for the District of Delaware, SRI International, Inc. v. Internet Security Systems, Inc., Civ No. 04-1199-SLR, October 17, 2006, copy consists of 2 unnumbered pages	
/AM/	C3	Copy of Memorandum Order Re: Disputed Claim Language, issued by United States District Court for the District of Delaware, SRI International, Inc. v. Internet Security Systems, Inc., Civ No. 04-1199-SLR, October 17, 2006, pages 1-8	
/AM/	C4	Copy of Memorandum Opinion issued by United States District Court for the District of Delaware, SRI International, Inc. v. Internet Security Systems, Inc., Civ No. 04-1199-SLR, October 17, 2006, copy consists of 17 pages	
/AM/	C5	Copy of Memorandum Opinion issued by United States District Court for the District of Delaware, SRI International, Inc. v. Internet Security Systems, Inc., Civ No. 04-1199-SLR, October 17, 2006, copy consists of 27 pages	
/AM/	C6	CheckPoint Software Technologies, Ltd., "Getting Started with Check Point RealSecure", January 2000, pp. 1-17	
/AM/	C7	CheckPoint Software Technologies, Ltd., "Check Point RealSecure Network Engine User Guide", January 2000.	
/AM/	C8	Elson, David, "Intrusion Detection, Theory and Practice", March 2000, < <a href="http://online.securityfocus.com/infosec/1203">http://online.securityfocus.com/infosec/1203</a> >.	
/AM/	C9	ICSAIabs, "Intrusion Detection System Buyer's Guide", December 1999.	
/AM/	C10	SRI's Opening Brief in Support of its Motion for Partial Summary Judgment of No Anticipation by the "EMERALD 1997" Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 16, 2006	
/AM/	C11	Defendant's Joint Opposition to SRI International, Inc.'s Motion for Partial Summary Judgment of No Anticipation by the "EMERALD 1997" Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 30, 2006	
/AM/	C12	SRI International, Inc.'s Reply in Support of its Motion for Partial Summary Judgment of No Anticipation by the "EMERALD 1997" Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), July 10, 2006	
Examiner Signature	/Aravind Moorthy/		Date Considered 08/07/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<i>Application Number</i>	09/711,323
		<i>Filing Date</i>	November 9, 2000
		<i>First Named Inventor</i>	Alfonso Valdes
		<i>Art Unit</i>	2131
		<i>Examiner Name</i>	Moorthy, Aravind K.
		<i>Attorney Docket Number</i>	10454-014002 (SRI/4190-3)
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/AM/	C13	SRI International, Inc.'s Opening Brief in Support of its Motion for Partial Summary Judgment That the Live Traffic Article is not a Section 102(b) Printed Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 16, 2006	
/AM/	C14	Answering Brief of Defendants ISS and Symantec to SRI's Motion for Partial Summary Judgment That the Live Traffic Article is Not a Section 102(b) Printed Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 30, 2006	
/AM/	C15	SRI International, Inc.'s Reply in Support of its Motion for Partial Summary Judgment That the Live Traffic Article is not a Section 102(b) Printed Publication, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), July 10, 2006	
/AM/	C16	ISS's Opening Brief in Support of Their Motion to Preclude SRI, Based on SRI's Conduct in Discovery, From Disputing the Evidence Establishing That the Live Traffic Paper is a 102(b) Invalidating Reference, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 30, 2006	
/AM/	C17	SRI International, Inc.'s Opposition to ISS's Motion to Preclude SRI, Based on SRI's Conduct in Discovery, From Disputing the Evidence Establishing That the Live Traffic Paper is a 102(b) Invalidating Reference, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), July 17, 2006	
/AM/	C18	ISS's Reply Brief in Support of Its Motion to Preclude SRI, Based on SRI's Conduct in Discovery, From Disputing the Evidence Establishing That the Live Traffic Paper is a 102(b) Invalidating Reference, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), July 24, 2006	
/AM/	C19	Opening Brief in Support of Joint Motion for Summary Judgment of Invalidity Pursuant to 35 U.S.C. 102 & 103 Of Defendants ISS and Symantec, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 16, 2006	
/AM/	C20	SRI International Inc.'s Response to Defendant's Joint Motion for Summary Judgment of Invalidity Pursuant to 35 U.S.C. 102 & 103, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), June 30, 2006	
/AM/	C21	Defendants' Joint Reply Brief in Support of Their Motion for Summary Judgment of Invalidity Pursuant to 35 U.S.C. 102 & 103, In the United States District Court for the District of Delaware, SRI INTERNATIONAL, INC. v. INTERNET SECURITY SYSTEMS, INC. and SYMANTEC CORPORATION (C.A. No. 04-1199 (SLR)), July 19, 2006	
Examiner Signature	/Aravind Moorthy/		Date Considered
			08/07/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.